

U.S. Department of Justice

Washington, DC 20530

**Exhibit A to Registration Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

**INSTRUCTIONS.** Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <http://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

**1. Name and Address of Registrant**

Global Policy Initiatives  
5308 Wilson Boulevard, Arlington, VA 22205

**2. Registration No.**

6314

**3. Name of Foreign Principal**

State Oil Company of the Azerbaijan Republic  
(SOCAR)

**4. Principal Address of Foreign Principal**

73, Neftchiler Avenue  
Baku, AZ1000  
Azerbaijan

**5. Indicate whether your foreign principal is one of the following:**

- ☐ Government of a foreign country<sup>1</sup>
- ☐ Foreign political party
- ☒ Foreign or domestic organization: If either, check one of the following:
- |   |  |
|---|--|
| <input type="checkbox"/> Partnership            | <input type="checkbox"/> Committee             |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Voluntary group       |
| <input type="checkbox"/> Association            | <input type="checkbox"/> Other (specify) _____ |
- ☐ Individual-State nationality \_\_\_\_\_

**6. If the foreign principal is a foreign government, state:**

- a) Branch or agency represented by the registrant
- b) Name and title of official with whom registrant deals

**7. If the foreign principal is a foreign political party, state:**

- a) Principal address
- b) Name and title of official with whom registrant deals
- c) Principal aim

<sup>1</sup> "Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

## 8. If the foreign principal is not a foreign government or a foreign political party:

## a) State the nature of the business or activity of this foreign principal.

Production, refinement, transportation, and sale of oil and gas products.

## b) Is this foreign principal:

Supervised by a foreign government, foreign political party, or other foreign principal

Yes ☐ No ☒

Owned by a foreign government, foreign political party, or other foreign principal

Yes ☒ No ☐

Directed by a foreign government, foreign political party, or other foreign principal

Yes ☐ No ☒

Controlled by a foreign government, foreign political party, or other foreign principal

Yes ☒ No ☐

Financed by a foreign government, foreign political party, or other foreign principal

Yes ☒ No ☐

Subsidized in part by a foreign government, foreign political party, or other foreign principal

Yes ☒ No ☐

## 9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page must be used.)

State Oil Company of the Azerbaijan Republic (SOCAR) is wholly owned and controlled by the Republic of Azerbaijan.

## 10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

## EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit A	Name and Title	Signature
August 11, 2015	Jay K. Footlik, President	/s/ Jay K. Footlik

U.S. Department of Justice

Washington, DC 20530

**Exhibit B to Registration Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

**INSTRUCTIONS.** A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <http://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant

Global Policy Initiatives

2. Registration No.

6314

3. Name of Foreign Principal

State Oil Company of the Azerbaijan Republic (SOCAR)

Check Appropriate Box:

4. ☒ The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
5. ☐ There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
6. ☐ The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.
7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

The registrant will advise and represent SOCAR on government relations and public affairs matters in the United States. For the performance of these services, the foreign principal will pay the registrant based on the hourly rates of the consultants involved, up to a monthly total of \$7,500.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

The registrant will advise and represent SOCAR on government relations and public affairs matters before the United States Congress, the Executive Branch, and the media.

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes ☒ No ☐

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

The registrant's activities on behalf of the foreign principal may include communications with Members of Congress, congressional staff, Executive Branch officials, and the media.

### EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit B	Name and Title	Signature
August 11, 2015	Jay K. Footlik, President	/s/ Jay K. Footlik

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

### Engagement Agreement

The Engagement Agreement is effective July 1, 2015 by and between the State Oil Company of the Azerbaijan Republic ("SOCAR") and 30 Point Strategies and Global Policy Initiatives ("the Providers").

WHEREAS, SOCAR wishes to have the Providers performing the services hereinafter referred to and

WHEREAS, the Providers are willing to perform these services,

NOW THEREFORE the Parties hereby agree as follows:

#### Services

The Providers shall perform services to assist SOCAR with public and government relations. The Providers shall deliver regular reports of its activities to SOCAR.

#### Term

The Providers shall perform the Services during the period commencing on July 1, 2015 and continuing on a month-to-month basis until either party terminates.

#### Payment

SOCAR shall pay hourly rate with a cap of \$25,000 monthly ("Cap"), which includes reasonable expenses the Providers incur in connection with the Services for SOCAR. The Providers shall notify SOCAR reasonably in advance of any changes in the hourly rates before they go into effect or if the Providers anticipate exceeding the Cap; SOCAR will not be responsible for any professional fees in excess of the foregoing Cap unless the Providers notify SOCAR in advance of, and SOCAR agree to, the overrun.

#### Performance Standard

The Providers undertake to perform the Services with the highest standards of professional and ethical competence and integrity. The Providers shall comply with the Foreign Agents Registration Act and any other applicable United States laws.

#### Confidentiality

The Providers shall not disclose any proprietary or confidential information relating to the services, this agreement or SOCAR's business or operations without SOCAR's prior written consent. Termination of the contract shall not affect this provision which shall remain in force for an indefinite period.

#### Ownership of Material

Any studies, reports, opinions or other material, or otherwise, prepared by the Providers for SOCAR under the agreement shall belong to and remain the property of SOCAR. The Providers may retain a copy of such documents and software.

#### Insurance

SOCAR shall not be obliged to provide insurance under this Agreement. The Providers shall be responsible for taking out any appropriate insurance coverage.

#### Assignment

The Providers shall not assign this Agreement or any portion of it without SOCAR's prior written consent.

Governing Law and Language

The Agreement shall be governed by the laws of the District of Columbia and the United States of America, and the language of the Agreement shall be in English.

Amendment

The Agreement may not be modified or amended except by an instrument in writing by the parties hereto.

Termination

Either party may terminate this agreement with 7 days prior written notice.

ACCEPTED AND AGREED:

30 Point Strategies

By: 

Adam Levy

DATED: 7/22/15

ACCEPTED AND AGREED:

Global Policy Initiatives

By: 

Jay Footlik

DATED: 7/22/15

ACCEPTED AND AGREED:

SOCAR

By: 

Rauf Mammadov

DATED: 7/22/15